



Heart  
&Stroke™

A lifesaving gift, a legacy of hope

# Making a gift of securities

A step-by-step guide

## Step 1:

**Please complete all sections of the securities transfer form attached.**

For privacy reasons, your broker cannot disclose your name to us, so please complete the Donor Information section, including your daytime phone number with area code. Your advisor/broker will provide the CUSIP # which acts like a transaction number in case the transfer needs to be tracked.

## Step 2:

**Send a copy of the completed transfer form for processing to Paula McKinley at the Heart and Stroke Foundation of New Brunswick for processing.** This step is important because securities are transferred into our account anonymously, without your name listed. Notifying us will ensure you receive your official receipt for income tax purposes.

Paula McKinley, Director, Strategic Partnerships  
Heart and Stroke Foundation of New Brunswick  
580 Main Street, Suite B210  
Saint John, New Brunswick E2L 2B5  
Ph: (506) 634-2055 ext. 2064  
Fax #: (506) 648-0098

**Note:** Stock-trading information should not be communicated electronically and therefore should not be submitted through email or left on voice mail.

## Step 3:

**Fax or send the completed transfer form to your broker or investment advisor.** Your broker or investment advisor is responsible for initiating the transfer.

## Additional information:

Securities, shares and stocks are considered legally transferred only when our custodial agent RBC Dominion Securities confirms delivery. Your receipt is valued based on the closing price on the day when the shares are legally transferred to Heart & Stroke's account. Heart & Stroke's policy is to sell the shares as soon as possible after they have been received.

## For more information, contact:

Paula McKinley,  
Director, Strategic  
Partnerships  
Ph: (506) 634-2055 ext. 2064  
Email: pmckinley@hsf.nb.ca

# Securities Transfer Form

## From Donor to Broker

### Donor Information (required for tax receipting purposes)

The stock will be valued at the closing price on the date it is received in the Heart and Stroke Foundation brokerage account.

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Phone Home: \_\_\_\_\_ Email: \_\_\_\_\_

Work: \_\_\_\_\_

Cell: \_\_\_\_\_

### Broker Information

Company Name: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Transfer securities from:

Account #: \_\_\_\_\_

Securities to be donated:

\_\_\_\_\_  
Security Name # of units/Shares CUSIP# / symbol

\_\_\_\_\_  
Security Name # of units/Shares CUSIP# / symbol

I authorize the Heart and Stroke Foundation, or its agent, to contact my broker for the purposes of concluding this transaction.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

#### Transfer securities to:

ScotiaMcLeod  
CUID: SCOT  
DTC#: 5011

**Attn: Paul Sexton**  
**Wealth Advisor**  
Ph: (506) 631-3191  
Fax: (506) 658-3315

Account #: \_\_\_\_\_  
Please contact Paula McKinley  
at the Heart and Stroke  
Foundation of New Brunswick  
for the account number.

**Please fax a copy of the completed form before proceeding with your securities transfer to:**

**Heart & Stroke Foundation**

Fax #: (506) 648-0098

If you have any questions regarding this form, or your donation, please contact:

**Paula McKinley**  
**Director, Strategic Partnerships**

Ph: (506) 634-2055 ext. 2064  
Email: pmckinley@hsf.nb.ca

Heart and Stroke Foundation  
580 Main Street, Suite B210  
Saint John, NB E2K 1J5 Saint  
John, New Brunswick E2L 2B5

**Thank you for your philanthropy!**

**heartandstroke.ca**



Heart and Stroke Foundation of Canada — Charitable Registration #: 10684 6942 RR0001

This material provides general information and is not intended to constitute or replace specific professional advice. Donors considering a legacy gift should speak to an advisor with appropriate tax and other expertise to implement a strategy that achieves their objectives.

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